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81754.0064

Express Mail Label No. EL 894 944 927 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

07/19/01



Form PTO-1082

The application of:  
Shihiko HIGUCHI  
Serial No: Not assigned  
Filed: July 19, 2001  
Title: Semiconductor Device and Method for Manufacturing the Same

J1040 U.S. PTO  
09/910447  
07/19/01

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

CORRESPONDENCE ADDRESS:

☒ Customer Number 000026021



26021

PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

- ☒ 5 sheet(s) of drawings (☒ formal ☐ informal) is(are) enclosed.
- ☒ 23 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.
- ☐ An assignment of the invention to SEIKO EPSON CORPORATION ☐ is enclosed ☒ will follow.
- ☐ An associate power of attorney ☐ is enclosed ☐ will follow.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Declaration and Power of Attorney ☐ is enclosed ☒ will follow.
- ☐ A certified copy of Japanese Patent Application No. 2000-218890 filed July 19, 2000 from which priority is claimed under 35 U.S.C. § 119 will follow.
- ☐ IDS enclosed (☐ with reference(s)).
- ☐ Preliminary Amendment is enclosed.
- ☐ Application data sheet is enclosed. See 37 CFR 1.76.
- ☒ Return postcard is enclosed.

CALCULATION OF FEES

Return postcard is enclosed.

CALCULATION OF FEES									
ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE	
A	TOTAL CLAIMS FE E	20	-20	0	LG=\$18 SM=\$9	\$18	0		
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$80 SM=\$40	\$80	0		
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)							0	
D	MULTIPLE-DEPENDENT CLAIMS FEE					LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135		\$ 0	
E	BASIC FEE					LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355		\$ 710	
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 710	
G	ASSIGNMENT RECORDING FEE							\$ 40	\$ 40
	*LIST INDEPENDENT CLAIMS 1 and 13								

- ☐ Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.
- ☐ A check in the amount of \$ 0 to cover the filing fee is enclosed.
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- ☐ The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**
  - ☐ Any additional filing fees required under 37 C.F.R. 1.16
  - ☐ Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,  
HOGAN & HARTSON L.L.P.

By: *Mike Crapenhof*

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07/19/01  
jc813 U.S. PTO

PATENT  
Attorney Docket No: 81754.0064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Toshihiko HIGUCHI

Serial No: Not assigned

Filed: July 19, 2001

For: Semiconductor Device and Method for Manufacturing the Same

Art Unit: Not assigned

Examiner: Not assigned

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Date of Deposit: July 19, 2001

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231

Dear Sir:

I hereby certify that

- ☒ two copies of a letter of transmittal
- ☒ patent application ( 23 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- ☒ 5 sheet(s) of formal drawings
- ☒ return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

Box PATENT APPLICATION

Commissioner for Patents

Washington, D.C. 20231.

Date: July 19, 2001

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Emily Cruz

Name of person mailing papers

Signature